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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

Complete if Known

Application Number	10/817,212
Filing Date	April 2, 2004
First Named Inventor	Steven R. Kleiman
Art Unit	2187
Examiner Name	Nguyen, Than Vinh

Attorney Docket Number 112056-0126D1

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1	3876978		04-1975	Bossen et al.	
2	4092732		05-1978	Ouchi	
3	4201976		05-1980	Patel	
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		Examiner Name	Nguyen, Than Vinh
		Attorney Docket Number	112056-0126D1

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		Number-Kind Code ² (if known)		
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Sheet	3	of	8
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	58	5860091	01-1999	DeKoning et al.	
	59	5862158	01-1999	Baylor et al.	
	60	5884098	03-1999	Mason, Jr.	
	61	5948110	09-1999	Hitz et al.	
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Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	81	JP 2001-154,811	06-08-2001	Hirofiji		
	82	JP 2001-188,658	06-10-2001	Motosawa		
	83	WO 94/29796	12-22-1994	Hitz et al.		
	84	WO 94/29795	12-22-1994	Hitz et al.		

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Sheet 4 of 8 Attorney Docket Number 112056-0126D1

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First Named Inventor	Steven R. Kleiman
Group Art Unit	2187
Examiner Name	Nguyen, Than Vinh

NON PATENT LITERATURE DOCUMENTS

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	85	"Complaint for Patent Infringement and Demand for Jury Trial", filed September 5, 2007 in United States District Court for the Eastern District of Texas, Lufkin Division. Civil Action No. 9:07CV206, 18 pages.	
	86	"Defendant Sun Microsystems, Inc.'s Answer and Counterclaim to Plaintiff Network Appliance, Inc.'s Complaint for Patent Infringement", filed October 25, 2007 in United States District Court for the Eastern District of Texas, Lufkin Division. Civil Action No. 9:07CV206, 64 pages.	
	87	"Network Appliance's Reply to Sun's Answer and Counterclaims", filed December 7, 2007 in United States District Court for the Northern District of California, San Francisco Division. Case No. 3:07-CV-06053-EDL, 39 pages.	
	88	"Defendant-Counterclaim Plaintiff Sun Microsystems, Inc.'s Preliminary Invalidity Contentions and Accompanying Document Production for U.S. Patent No. 7,200,715" filed March 6, 2008 in United States District Court for the Northern District of California, San Francisco Division. Case No. 3:07-CV-06053-EDL, 99 pages.	
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Sheet 5 of 8 Attorney Docket Number 112056-0126D1

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	99	Auspex 4Front NS2000, System Architecture, Network-Attached Storage for a New Millennium, Auspex Engineering Technical Report 24 Jan. 1999.	
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	127	LEE, EDWARD K. et al., "RAID-II: A Scalable Storage Architecture for High-Bandwidth Network File Service", Technical Report UCB/CSD 92/672, Feb. 1992.	
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Examiner Signature	/Than Nguyen/	Date Considered	11/13/2008
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Complete if Known

Application Number	10/817,212
Filing Date	April 2, 2004
First Named Inventor	Steven R. Kleiman
Group Art Unit	2187
Examiner Name	Nguyen, Than Vinh
Attorney Docket Number	112056-0126D1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	141	SCHULZE, MARTIN et al., "How Reliable is a RAID?", Proceedings of COMPCON, 1989, pp. 118-123.	
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	149	"Sun Microsystems Inc.'s Reply Claim Construction Brief", filed on August 1, 2008, in United States District Court for the Northern District of California, San Francisco Division. Case No. 3:07-CV-06053-EDL, 41 pages.	

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